



FEES AND CHARGES

Hong Kong Stock Market		Effective Date: 17 May 2021
(A) TRADE-RELATED SERVICES		
Services Items	Standard Charges	
Commission	0.25% of transaction amount, Min HK\$80	
Stamp Duty	0.1% of transaction amount (rounded up to nearest dollars)	
Trading Fee	0.005% of transaction amount	
Transaction Levy	0.0027% of transaction amount	
Clearing Fee	0.01% of transaction amount (0.002% is collected by HK Clearing) Min HK\$2, Max HK\$200	
Overdue interest (HK/RMB)	(P = Prime Rate)	
-Cash Accounts	P + 5% p.a.	
-Margin Accounts	P + 3% p.a.	
Italian Financial Transaction Tax ("IFTT")	our company is NOT providing trading service for Italian companies which listed in Hong Kong Exchange	
(B) DEPOSITORY SERVICES		
Stock Deposit Via CCASS (SI)	FREE	
Stock Withdrawal Via CCASS (SI)	0.005% of stock value, Min HK\$100	
Stock Deposit Via Investor Participant (ISI)	FREE	
Stock Withdrawal Via Investor Participant (ISI)	0.005% of stock value, Min HK\$100	
Physical Stock Deposit	HK\$5 stamp duty per transfer deed (The market value less than HK\$500,000, the sale process will be withheld until the 10 working days from the stock deposit day. The market value more than HK\$500,000, the stock will be hold for 12 working days after the deposit day.)	
Physical Stock Withdrawal	HK\$5 per board lot + handling charges HK\$30	
Mandatory Buy-in	0.25% of transaction amount + the charge and penalty from HK Clearing	
(C) Nominee Services and Corporate Services		
Collection Fee (Cash Dividend) / (Scrip Dividend)	0.5% of cash amount, Min HK\$20	
Collection Fee (Bonus Issue, Warrant, Rights etc)	Handling Charge HK\$50 per stock	
Fee for Rights exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Fee for excess Rights exercise	FREE	
Fee for Warrant exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Fee for Covered Warrant exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Stock Conversion (DTDC, Parallel Trading)	HK\$30 per effected transfer	



(Transfer between the two tranches of shares)	
Fee for claiming / unclaimed benefit entitlement	HK\$500 per claim or 2% of the dividend amount whichever is higher per transaction
(D) IPO Services	
IPO Application	EIPO – HK\$30 Yellow Application – HK\$50
Renminbi-denominated securities IPO Application	RMB100 for each IPO (non-margin financing)
(E) Withdrawal And Other Services	
Remittance	According to Bank T/T charges
Returned Cheque Charge	HK\$200 per transaction
Audit Confirmation (For Corporate Accounts)	HK\$200 for each Account
Re-print Daily / Monthly Statement	Within 3 months – FREE Beyond 3 months – HK\$30 per set
Charge for Mailing Statement	HK\$100 per month

Shanghai-HK / Shenzhen-HK Stock Connect (RMB)	
Service Items	Standard Charges
Commission for Trade	0.25% of transaction amount, Min ¥100
Handing Fee	0.00487% of transaction amount
Management Fee	0.002% of transaction amount
Transfer Fee	0.004% of transaction amount
Stamp Duty	0.1% of transaction amount on the seller
Portfolio Fee	Daily stock portfolio value x 0.008% / 365 days (Accrued daily and collected on a monthly basis)
Overdue interest (HKD/RMB) - Cash Accounts - Margin Accounts	(P = Prime rate) P + 5% p.a. P + 3% p.a.
CCASS Settlement Instruction (SI)	¥5 per board lot (Min ¥50, Max ¥500)
CCASS Investor Settlement Instructions (ISI)	NO Service
Physical Scrip	NO Service
Custody Fee	Free
Dormant Account Fee	Free
Scrip Fee	¥1.5 per board lot
Collection Fee (Cash Dividend / Scrip Dividend)	0.5% of dividend collection, plus handing fee ¥20
Corporate Action Service Fee	¥2 per board lot, plus handing fee ¥20
Excess Rights Shares	¥20 per transaction
Attending Annual General Meeting	No Service
Shareholder Voting (Nominee)	Free

*The above charges are for reference only and subject to change without prior notice.