



## FEES AND CHARGES

Hong Kong Stock Market		Effective Date: 07 Dec 2021
(A) TRADE-RELATED SERVICES		
Services Items	Standard Charges	
Commission	Negotiable	
Platform Fee	\$15	
Stamp Duty	0.13% of transaction amount (rounded up to nearest dollars)	
Trading Fee	0.005% of transaction amount	
Transaction Levy	0.0027% of transaction amount	
Clearing Fee	0.01% of transaction amount (0.002% is collected by HK Clearing) Min HK\$5, Max HK\$300	
Overdue interest (HK/RMB)	(P = Prime Rate)	
-Cash Accounts	P + 5% p.a.	
-Margin Accounts	P + 3% p.a.	
Italian Financial Transaction Tax ("IFTT")	our company is <b>NOT</b> providing trading service for Italian companies which listed in Hong Kong Exchange	
(B) DEPOSITORY SERVICES		
Stock Deposit Via CCASS (SI)	FREE	
Stock Withdrawal Via CCASS (SI)	0.005% of stock value (last closing price), Min HK\$100	
Stock Deposit Via Investor Participant (ISI)	FREE	
Stock Withdrawal Via Investor Participant (ISI)	0.005% of stock value (last closing price), Min HK\$100	
Physical Stock Deposit	HK\$5 stamp duty per transfer deed (The market value less than HK\$500,000, the sale process will be withheld until the 10 working days from the stock deposit day. The market value more than HK\$500,000, the stock will be hold for 12 working days after the deposit day.)	
Physical Stock Withdrawal	HK\$5 per board lot + handling charges HK\$30	
Mandatory Buy-in	0.25% of transaction amount + the charge and penalty from HK Clearing	
(C) Nominee Services and Corporate Services		
Collection Fee (Cash Dividend) / (Scrip Dividend)	Handling Charges : 0.5% of cash amount, Min HK\$20 Scrip Fee : HK\$2.5/LOT	
Collection Fee (Bonus Issue, Warrant, Rights etc)	Handling Charge HK\$50 per stock Scrip Fee : HK\$2.5/LOT	
Fee for Rights exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Fee for excess Rights exercise	FREE	
Fee for Warrant exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Fee for Covered Warrant exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Stock Conversion (DTDC, Parallel Trading)	HK\$30 per effected transfer	



(Transfer between the two tranches of shares)	
Fee for claiming / unclaimed benefit entitlement	HK\$500 per claim or 2% of the dividend amount whichever is higher per transaction
<b>(D) IPO Services</b>	
IPO Application	EIPO – HK\$30 Yellow Application – HK\$50
Renminbi-denominated securities IPO Application	RMB100 for each IPO (non-margin financing)
<b>(E) Withdrawal And Other Services</b>	
Remittance	According to Bank T/T charges
Returned Cheque Charge	HK\$200 per transaction
Audit Confirmation (For Corporate Accounts)	HK\$200 for each Account
Re-print Daily / Monthly Statement	Within 3 months – FREE Beyond 3 months – HK\$30 per set
Charge for Mailing Statement	HK\$100 per month

Shanghai-HK / Shenzhen-HK Stock Connect (RMB)	
Service Items	Standard Charges
Commission for Trade	0.25% of transaction amount, Min ¥100
Handing Fee	0.00487% of transaction amount
Management Fee	0.002% of transaction amount
Transfer Fee	0.004% of transaction amount
Stamp Duty	0.1% of transaction amount on the seller
Portfolio Fee	Daily stock portfolio value x 0.008% / 365 days (Accrued daily and collected on a monthly basis)
Overdue interest (HKD/RMB) - Cash Accounts - Margin Accounts	(P = Prime rate) P + 5% p.a. P + 3% p.a.
CCASS Settlement Instruction (SI)	¥5 per board lot (Min ¥50, Max ¥500)
CCASS Investor Settlement Instructions (ISI)	NO Service
Physical Scrip	NO Service
Custody Fee	Free
Dormant Account Fee	Free
Scrip Fee	¥1.5 per board lot
Collection Fee (Cash Dividend / Scrip Dividend)	0.5% of dividend collection, plus handing fee ¥20
Corporate Action Service Fee	¥2 per board lot, plus handing fee ¥20
Excess Rights Shares	¥20 per transaction
Attending Annual General Meeting	No Service
Shareholder Voting (Nominee)	Free

\*The above charges are for reference only and subject to change without prior notice.