

FEES AND CHARGES

Hong Kong Stock Market		Effective Date: 01 Feb 2022
(A) TRADE-RELATED SERVICES		
Services Items	Standard Charges	
Commission	Negotiable	
Platform Fee	\$15	
Stamp Duty	0.13% of transaction amount (rounded up to nearest dollars)	
Trading Fee	0.005% of transaction amount	
Transaction Levy	0.0027% of transaction amount	
Clearing Fee	0.01% of transaction amount (0.002% is collected by HK Clearing) Min HK\$5, Max HK\$300	
Overdue interest (HK/RMB) -Cash Accounts -Margin Accounts	(P = Prime Rate) P + 5% p.a. 13% p.a.	
Italian Financial Transaction Tax ("IFTT")	our company is NOT providing trading service for Italian companies which listed in Hong Kong Exchange	
(B) DEPOSITORY SERVICES		
Stock Deposit Via CCASS (SI)	FREE	
Stock Withdrawal Via CCASS (SI)	0.01% of stock value (last closing price), Min HK\$100	
Stock Deposit Via Investor Participant (ISI)	FREE	
Stock Withdrawal Via Investor Participant (ISI)	0.005% of stock value (last closing price), Min HK\$100	
Physical Stock Deposit	HK\$5 stamp duty per transfer deed (The market value less than HK\$500,000, the sale process will be withheld until the 10 working days from the stock deposit day. The market value more than HK\$500,000, the stock will be hold for 12 working days after the deposit day.)	
Physical Stock Withdrawal	HK\$5 per board lot + handling charges HK\$30	
Mandatory Buy-in	0.25% of transaction amount + the charge and penalty from HK Clearing	
(C) Nominee Services and Corporate Services		
Collection Fee (Cash Dividend) / (Scrip Dividend)	Handling Charges : 0.5% of cash amount, Min HK\$20 Scrip Fee : HK\$2.5/LOT	
Collection Fee (Bonus Issue, Warrant, Rights etc)	Handling Charge HK\$50 per stock Scrip Fee : HK\$2.5/LOT	
Fee for Rights exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Fee for excess Rights exercise	FREE	
Fee for Warrant exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Fee for Covered Warrant exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot	
Stock Conversion (DTDC, Parallel Trading)	HK\$30 per effected transfer	



(Transfer between the two tranches of shares)	
Fee for claiming / unclaimed benefit entitlement	HK\$500 per claim or 2% of the dividend amount whichever is higher per transaction
(D) IPO Services	
IPO Application	EIPO – HK\$30 Yellow Application – HK\$50
Renminbi-denominated securities IPO Application	RMB100 for each IPO (non-margin financing)
(E) Withdrawal And Other Services	
Remittance	According to Bank T/T charges
Returned Cheque Charge	HK\$200 per transaction
Audit Confirmation (For Corporate Accounts)	HK\$200 for each Account
Re-print Daily / Monthly Statement	Within 3 months – FREE Beyond 3 months – HK\$30 per set
Charge for Mailing Statement	HK\$100 per month

*The above charges are for reference only and subject to change without prior notice.