

## FEES AND CHARGES

<b>1. Hong Kong Stock Market</b>	
<b>(A) TRADE-RELATED SERVICES</b>	
Services Items	Standard Charges
Commission	0.25% of transaction amount, plus Platform Fee \$15
Stamp Duty	0.13% of transaction amount (rounded up to nearest dollars)
Trading Fee	0.005% of transaction amount
SFC Transaction Levy	0.0027% of transaction amount
CCASS Fee	0.003% of transaction amount, Min HK\$5, Max HK\$300
FRC Transaction Levy	0.00015% of transaction amount, Min HK\$0.01
Overdue interest	13% p.a.
Italian Financial Transaction Tax ("IFTT")	our company is <b>NOT</b> providing trading service for Italian companies listed in Hong Kong Exchange
<b>(B) Nominee Services and Corporate Services</b>	
Collection Fee (Cash Dividend) / (Scrip Dividend)	Handling Charges : 0.5% of cash amount, Min HK\$20 Scrip Fee : HK\$2.5/LOT
Collection Fee (Bonus Issue, Warrant, Rights etc)	Handling Charge HK\$50 per stock Scrip Fee : HK\$2.5/LOT
Fee for Rights exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot
Fee for excess Rights exercise	FREE
Fee for Warrant exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot
Fee for Covered Warrant exercise	HK\$150 per transaction + HK Clearing charges HK\$0.8 per board lot
Stock Conversion (DTDC, Parallel Trading) (Transfer between the two tranches of shares)	HK\$30 per effected transfer
Fee for claiming / unclaimed benefit entitlement	HK\$500 per claim or 2% of the dividend amount whichever is higher per transaction
<b>(C) DEPOSITORY SERVICES</b>	
Stock Deposit Via CCASS (SI)	FREE
Stock Withdrawal Via CCASS (SI)	0.1% of stock value (last closing price), Min HK\$200
Stock Deposit Via Investor Participant (ISI)	FREE
Stock Withdrawal Via Investor Participant (ISI)	0.1% of stock value (last closing price), Min HK\$200
Physical Stock Deposit	HK\$5 stamp duty per transfer deed (The market value less than HK\$500,000, the sale process will be withheld until the 10 working days from the stock deposit day. The market value more than HK\$500,000, the stock will be hold for 12 working days after the deposit day.)
Physical Stock Withdrawal	HK\$5 per board lot + handling charges HK\$30
Mandatory Buy-in	0.25% of transaction amount + the charge and penalty from HK Clearing

(D) IPO Services	
IPO Application	EIPO – HK\$100 Yellow Application – HK\$100
(E) Withdrawal and Other Services	
Remittance	According to Bank T/T charges
Returned Cheque Charge	HK\$200 per transaction
Audit Confirmation (For Corporate Accounts)	HK\$200 for each Account
Re-print Daily / Monthly Statement	Within 3 months – FREE Beyond 3 months – HK\$30 per set
Charge for Mailing Statement	HK\$100 per month

US Stock	
Service Items	Standard Charges
Commission	0.25% of transaction amount, Mini Stock min US\$ 10*
Platform Fee	US\$2 per trade
SEC Fee	0.00229%. Minimum US\$0.01[Effective from 12 May 2022] Applicable to Sell orders only
Trading Activity Fee (FINRA )	US\$0.000119 per share[Effective from 03 January 2023 (Trade Date)] Applicable to Sell orders only, Mini US\$0.01, Max. US\$5.95
*Since March 1 <sup>st</sup> , 2018, Stock traded price less or equal to US\$5, the commission will be calculated based on rounds up to 1000 unit	

**\*The above charges are for reference only and subject to change without prior notice.**