



個人/聯名客戶開立證券買賣戶口需知
NOTE TO CLIENT (INDIVIDUAL/JOINT ACCOUNT)
RELATING TO OPENING SECURITIES TRADING ACCOUNT

客戶開立現金證券買賣戶口，必須填妥及簽署以下文件：

For opening a cash securities trading account, client shall complete and sign the following documents :

1. 客戶資料表 - 個人/聯名賬戶 Client Information Form – Individual/Joint Account

客戶並須提供下列文件：

In support thereof, client shall provide the documents listed below :

1. 身份證/護照副本 (由經紀核實及簽署)
I.D./Passport Copy (verified by AE with signature)
2. 最近之住址證明 (例如：三個月內的電話費單，差餉單等等)
Latest proof of residential address (e.g. telephone bill, rate and rent etc. of the last three months)
3. 香港銀行戶口證明副本 (例如：銀行咭，存摺，結單)
Bank proof copy (e.g. ATM card, passbook or bank statement)
4. 港澳通行證副本 (內地客戶)
Exit /Entry permit (Mainland resident)

如本公司要求，客戶亦須要提供收入證明。

Client shall provide income proof as well upon request by the Company.

本公司茲附上收費表及買賣證券交收須知，以供參考。

For reference, the Company enclosed herewith the List of Charges and Note to Client relating to Settlement of Securities Trading Transaction.

以上有關文件未經本公司核證及批准前，客戶不可進行任何證券交易。

No trading will be allowed until all the relevant documents submitted are checked and approved by the Company.

注意:

本公司暫不接受以下人士開設個人帳戶

1. 美國公民及/或美國居民

Attention :

We do not accept account opening application from the following individual

1. U.S. citizen and/ or resident U.S.

感謝 客戶的合作及支持。

Thank you for your cooperation and support.

正金證券集團有限公司 謹啓
Integrity Construction & Development
Securities Group Limited